

**Corporate Regulations of the
American Trakehner Association, Inc.**

A Unification of the NATA and the ATA

(as amended November 5, 2005)

PART I. CORPORATE ORGANIZATION

ARTICLE I. TITLE, PURPOSES, LOCATION AND CORPORATE SEAL

§1. The name of this Corporation shall be the American Trakehner Association, Inc., which is a Unification of the North-American Trakehner Association, Inc. (NATA) and the American Trakehner Association, Inc. (ATA), hereinafter referred to as "the Association." It shall at all times be operated and conducted as a nonprofit association in accordance with the laws of the State of Ohio.

§2. The purposes of the Association are:

To establish, maintain, and operate a non-profit association of breeders, owners and friends for the promotion and preservation in the Western Hemisphere of the warmblood horse of Trakehner origin: to maintain a public registry of Trakehner horses: to mark, or brand, approved stallions, mares and foals with the Association's corporate seal; to disseminate information to breeders, owners and friends pertaining to the breeding and raising of Trakehner horses; to promote the performance of the Trakehner horse in dressage, three-day eventing, hunting, jumping and driving; and generally do all the things appropriate to encourage a public understanding of the Trakehner horse, its breeding and performance.

§3. The principal place of business shall be in Licking County, Ohio, but its members, directors or officers may be residents of any state, territory, or country, and business may be carried on at any place convenient to such members, directors, or officers who are conducting the business of the Association.

§4. The seal of the Association shall be in the form impressed as follows:



The seal, or such facsimiles thereof as may be authorized by the Secretary of the Association, shall be under the general custody of the Secretary, but who may deliver physical custody of the same to such officers or administrative personnel as may be necessary for the conduct of the Association's business.

The seal may be imprinted uniformly on all Association forms and stationery, as may be determined by the Board of Trustees. The seal may also be used by any member of the Association in the marking of tack, equipment, stationery, or other paraphernalia, provided it is not used in such a

manner as to identify the member solely as the Association. Any other person, firm, or corporation may use the corporate seal only upon the approval of, and under such conditions as the board of trustees shall determine, and then only upon the execution of an agreement by the Association and the licensee specifying the conditions, scope and extent of the use of the seal by the licensee.

ARTICLE II. MEMBERSHIP

§1. The membership of the Association shall consist of Lifetime Members, Active Members, Youth Members and Distinguished Members, as defined in §§2- 5 of this Article.

§2. Lifetime Members of the Association are Active Members, as defined in §3 of this Article, who elect to pay ten times the annual dues prescribed for Active Members for the year in which the person or entity elects to join the Association as a Lifetime Member.

§3. Active Members of the Association shall consist of either natural persons or entities (families, fiduciaries, proprietorships, partnerships, unincorporated associations or corporations) who pay the annual dues prescribed for Active Members of the Association. Active Members shall receive annually the Journal of the Association and may participate in membership meetings, either individually, or, in the case of an entity, through an authorized representative.

An Active Member who is a natural person and, in either an individual or corporate capacity, is the record owner of a purebred Trakehner horse registered and recorded in either Division (A) or (E) of the Official Registry Book of the ATA or in Division 1 of the General Registry Book of the NATA or in the Official Stud Book of either the ATA or the NATA at the time of election to office shall be eligible to hold office as a trustee or officer of the Association. All Active Members who are the record owners of a horse registered and recorded in any of the registry books of either the ATA or the NATA may serve as chairman, or a member, of committees of the Association.

An Active Member, as hereinbefore defined, who is an active member at least forty-five (45) days prior to exercising the right to vote, is entitled to one vote. In the case of an entity, as hereinbefore described, a natural person shall be designated by the entity at the time of registration for a membership meeting to exercise the voting right; provided however, that only an Active Member, as hereinbefore defined, who is the record owner of a purebred Trakehner horse registered and recorded in either Division (A) or (E) of the Official Registry Book of the ATA or in Division 1 of the General Registry Book of the NATA or in the Official Stud Book of either the ATA or the NATA, is entitled to vote on matters pertaining to the registration or branding of horses; provided, however, that all Active Members entitled to vote pursuant to the Corporate Regulations prior to the amendments made at the Annual Meeting of the ATA on November 3-6, 1983, and still a member of the Association at the time of the vote may continue to exercise their right to vote as it existed at that time.

§4. Youth Members of the Association shall consist of natural persons not yet having passed their twenty-second birthday.

§5. Distinguished Members shall consist of natural persons who have made extraordinary contributions to the development of the Trakehner breed and who have been elected as distinguished members by not less than two-thirds vote of the membership. Distinguished members

may exercise the same membership privileges accorded Active Members pursuant to §3 of this Article and are entitled to vote on any issue.

§6. Voting privileges pursuant to §3-5 of this Article are limited to members who have passed their 18th birthday.

§7. Application for membership in this Association shall be made in the form prescribed by the Board of Trustees and accompanied by the payment of dues for the current year. The applications for membership may be reviewed by the Membership Committee in questionable cases concerning eligibility for membership which shall then certify to the Secretary of the Association the eligibility of the applicants for the membership requested. The Secretary shall issue a membership certificate to the applicant in such form as may be prescribed by the Board of Trustees.

§8. Membership in the Association is not transferable.

ARTICLE III. DUES

§1. The amount, time and manner for the payment of dues for membership in the Association shall be determined by the Board of Trustees. The same shall be published to the membership in an appropriate manner.

§2. Dues shall not be required for Distinguished Members. In the event a Lifetime or Active Member is elected a Distinguished Member the dues requirement shall be waived for the fiscal year following his/her election as a Distinguished Member and for each succeeding year thereafter during his/her lifetime.

§3. The annual dues, other than the dues of Lifetime Members as prescribed in **Article II, §2**, shall be payable on a twelve-month cycle beginning with the anniversary date assigned to that membership in accordance with policies established by the Board of Trustees.

§4. Members who have been dropped from membership for non-payment of dues may be reinstated by paying the dues for the current period at which time membership privileges shall be reinstated.

ARTICLE IV. TERMINATION OF MEMBERSHIP

§1. Membership and membership privileges in the Association shall be terminated for failure to pay dues timely as prescribed in **Article III** of these regulations, or for cause, on the grounds and in accordance with the procedures specified in **Part IV** of these regulations.

ARTICLE V. BOARD OF TRUSTEES

§1. There shall be a Board of Trustees of the Association. The Board of Trustees shall consist of a President and Chairman of the Board of Trustees, a Vice President, a Secretary, a Treasurer, the Immediate Past-President and nine Trustees. It is recommended that at least one member of the Board of Trustees shall be a Canadian citizen.

§2. The President and Chairman of the Board of Trustees, the Vice President, the Secretary and the Treasurer shall be elected, as hereinafter provided in **Part I, Article XIV**, for a term of two years commencing at the adjournment of the annual meeting of the Trustees. The remaining nine Trustees shall be elected as hereinafter provided in **Part I, Article XIV**, for a term of three years commencing at the adjournment of the annual meeting of the Trustees. The Immediate Past President, unless removed from office for cause, shall serve as a Trustee with all rights and duties pertaining thereto until he/she formally resigns.

§3. The President and Chairman of the Board of Trustees and the Secretary shall begin their two-year terms of office in an even-numbered year; the Vice President and the Treasurer shall begin their two-year terms of office in the succeeding odd-numbered year.

§4. No trustee, other than an officer of the Association, may succeed himself/herself in office as a trustee. The President, Vice President, and Secretary may succeed themselves in office for one additional term of two years. The Treasurer may succeed himself/herself for an unlimited number of terms.

§5. Trustees may be removed from office upon cause by the membership at an annual meeting or at a special meeting called for that purpose.

ARTICLE VI. MEETINGS OF BOARD OF TRUSTEES

§1. Regular meetings of the Board of Trustees shall be held semi-annually. The Board shall hold a regular meeting immediately preceding and immediately following each annual meeting of the membership at the place where such annual meeting shall be held. The Board shall hold a semi-annual meeting between annual meetings at such times and places as shall be determined by the Board at each annual meeting.

§2. Special meetings of the Board of Trustees may be called by the President and Chairman of the Board on five (5) days notice directly to each Trustee by some appropriate means. Special meetings shall also be called by the President and Chairman of the Board in like manner, or on like notice, on the written request of not less than three (3) members of the Board. Special meetings shall be held at such time and place as may be specified in the notice thereof.

§3. In the intervals between meetings of the Board of Trustees, the President and Chairman of the Board may refer and submit, by some appropriate means, to the members of the Board of Trustees definite questions relating to the affairs of the Association which, in the opinion of the President and Chairman of the Board, require immediate action on the part of the Board of Trustees. The result of such a referendum, which requires a majority vote of the Trustees, shall be binding on the Association and its Board of Trustees, officers, committees, agents and employees.

ARTICLE VII. DUTIES OF BOARD OF TRUSTEES

§1. The Board of Trustees shall:

- (a) transact the general business of the Association in the interim between annual meetings;

(b) establish major administrative policies governing the affairs of the Association and devise and develop policies for the Association's growth and development;

(c) provide for the maintenance of the national office and for making such office the center of activities of the Association, including such work of the officers and committees as may be deemed expedient;

(d) provide for proper care of materials, equipment and funds of the Association, for the payment of legitimate expenses, and for the annual auditing of all books of account by a non-member certified public accountant;

(e) in its discretion appoint an administrative supervisor and such other administrative personnel as may be necessary and define the duties and fix the compensation of such administrative staff persons;

(d) appoint standing committees as provided in these regulations;

(g) appoint special committees as may be needed from time to time;

(h) determine the exact date and place for holding the annual meetings and meetings of the Board of Trustees and provide for the payment for the place of such meetings when necessary;

(i) verify referendum votes of the Board of Trustees; and

(j) review and determine, as may be necessary, the membership dues and fees payable to the Association.

ARTICLE VIII. EXECUTIVE COMMITTEE OF BOARD OF TRUSTEES

§1. There shall be an Executive Committee of the Board of Trustees composed of the President and Chairman of the Board of Trustees, the Vice President, the Secretary and the Treasurer of the Association and one Trustee selected annually upon nomination by the President and ratification by the Board of Trustees. This committee shall have all the powers of the Board of Trustees to transact business of an emergency nature between Board meetings. All transactions of this committee shall be reported in full at the next regularly scheduled meeting of the Board of Trustees.

ARTICLE IX. OFFICERS

§1. The officers of this Association shall be a President and Chairman of the Board of Trustees, a Vice President, a Secretary, and a Treasurer.

§2. The officers of the Association shall perform the duties usually performed by such officers, together with such duties as hereinafter prescribed in **Article X**.

§3. Officers may be removed upon cause by the membership at an annual meeting or special meeting called for that purpose.

ARTICLE X. DUTIES OF OFFICERS

§1. The President and Chairman of the Board of Trustees shall preside at meetings of the Board of Trustees, and serve as Chairman of the Executive Committee and as an ex-officio member of all committees except the Nominations Committee and the Inspection Committee.

§2. In the absence of the President and Chairman of the Board of Trustees the Vice President shall assume the duties of the President and Chairman of the Board of Trustees. The Vice President shall also assume such other duties as are assigned to the Vice President by the Board of Trustees. In the event of a vacancy occurring in the office of the President and Chairman of the Board of Trustees, the Vice President shall serve as President and Chairman of the Board of Trustees until the adjournment of the next annual meeting or until the Vice President's successor is elected.

§3. The Secretary shall keep the minutes of all meetings of the Association and of the Board of Trustees, preserve all papers, letters and transactions of the Association and have custody of the corporate seal as hereinbefore provided in **Article I, §4**. The Secretary shall deliver to the successor Secretary within one month after the annual meeting all Association property in the retiring Secretary's possession.

§4. The Treasurer shall collect, receive and have charge of all funds of the Association; shall deposit such funds in a bank designated by the Board of Trustees and shall provide for the expenditure of such funds. The Treasurer shall report to the Board of Trustees the financial standing of the Association whenever requested to do so and publish the financial statements and make a full report to the membership of the financial status of the Association for each fiscal year of the Association on or before the adjournment of the semi-annual meeting of the Board of Trustees immediately following the close of that fiscal year. The Treasurer shall give a bond subject to the approval of the Board of Trustees. The accounts of the Treasurer shall be audited annually by a non-member certified public accountant approved by the Board of Trustees.

The retiring Treasurer shall within one month after the close of the annual meeting deliver to the successor Treasurer all money, vouchers, books and papers of the Association in the retiring Treasurer's custody, with a supplemental report covering all transactions from the close of the annual meeting to the making of the supplemental report.

§5. All officers except the Secretary and Treasurer shall, on the expiration of their term of office, surrender all property in their possession belonging to their respective offices to the newly elected President and Chairman of the Board.

ARTICLE XI. STANDING COMMITTEES

§1. Standing committees shall be composed of Distinguished, Lifetime or Active Members of the Association, as hereinafter provided, and shall assume such duties as are specified in these regulations and such other duties as may be assigned by the Board of Trustees.

§2. Except in the case of the Nominations. Inspection and Inspection Review Committees, the Board of Trustees shall select each year at the annual meeting of the Board of Trustees the chairmen and one other person who is a member of the Board of Trustees, unless the chairman is a Trustee, to serve as members of the standing committees of the Association during the ensuing calendar year, The remaining authorized members of each of the standing committees shall be selected by the chairman of the committee.

The duties of the chairmen of the committees shall consist of convening the committees for meetings, presiding at meetings of the committees and making appropriate recommendations to the Board of Trustees for taking corporate action.

§3. The following standing committees, with the exception of three members of the Nominations Committee, who shall be elected as hereinafter provided in **Part I, Article XIV**, shall, unless otherwise provided, consist of at least three members and be constituted at, or immediately after, each annual meeting to serve as the standing committees during the ensuing calendar year, or until their respective successors are appointed:

- (a) Advertising
- (b) Annual Meetings
- (c) Awards
- (d) Branding
- (e) Corporate Regulations and Grievances
- (f) Education
- (g) Membership
- (h) Nominations
- (i) Publications
- (j) Public Relations
- (k) Registration
- (l) Inspections
- (m) Inspection Committee Review

§4. The Committee on Advertising shall develop and implement programs approved by the Board of Trustees for communicating with the public at large concerning the Trakehner horse of East Prussian origin and the role of the Association in promoting the Trakehner horse and shall coordinate its activities with those of the Committee on Public Relations.

§5. The Committee on Annual Meetings shall be responsible for planning the program for annual meetings under the general direction, and subject to the approval, of the Board of Trustees.

§6. The Committee on Awards shall compile and maintain records of the performance of all horses registered with the Association, for the purpose of determining annually the recipients of awards, and shall deliver such information for publication in the journal of the Association.

§7. The Committee on Branding shall supervise the branding of horses pursuant to these corporate regulations and select branding representatives to assist it in the performance of its responsibilities.

The chairman of the committee shall be the official custodian of the branding irons, shall train the branding representatives and supervise their branding activities, and shall coordinate the branding of horses with the Registration Committee. The branding representatives shall receive directives from the chairman of the Branding Committee of the horses to be branded, schedule branding sessions, report applications and non-applications of the brand and maintain the integrity of the branding

program through a responsible and judicious application of the brand to only qualified horses in accordance with the procedures adopted by the Board of Trustees to implement **Part III** of these regulations.

§8. The Committee on Corporate Regulations and Grievances shall review, as may be necessary, the articles of incorporation and regulations of the Association and make any appropriate recommendations for amendments thereto and hear all complaints for violations of the Association regulations in accordance with the procedures prescribed in **Part IV** of these regulations.

§9. The Committee on Education shall coordinate all activities of the Association dealing with the development of educational materials for the membership.

§10. The Committee on Membership shall take all appropriate actions for the marketing, encouragement and conservation of membership in the Association.

§11. The Committee on Nominations, whose chairman shall be selected by its members, shall consist of five voting members of the Association, including two members appointed by the Board of Trustees and three members who shall be elected as hereinafter provided in **Part I, Article XIV**. The Committee on Nominations shall review the desires and qualifications of members to hold office in the Association as Trustees and Officers and make appropriate recommendations to the membership for the filling of all vacancies in office at each annual meeting of the Association. The Committee shall formalize its recommendations for publication to the membership of the Association in some appropriate form at least 30 days prior to the annual meeting.

§12. The Committee on Publications shall oversee the publication of *The American Trakehner*, the official journal of the Association, and such other Association publications as the Board of Trustees may from time to time direct. The Committee shall constitute the Editorial Board of the journal.

§13. The Committee on Public Relations shall act as a liaison between the Association, its officers, committees and administrative staff and equine publications for the promotion of the activities of the Association and the Trakehner breed, furnish news items and articles of interest to the news media for the purpose of promoting the Trakehner breed and serve as a source of information concerning the Association's activities and the Trakehner breed. The Committee shall coordinate its activities with the Committee on Advertising.

§14. The Committee on Registration shall consist of at least seven members of the Association, one of whom shall be a member of the Committee on Inspections, and shall supervise the registration of horses in accordance with **Part II** of these regulations and shall compile, maintain and supervise the Official Stud Book, the Preliminary Stud Book, the Official Registry Book and the Official Appendix Book of the Association in accordance with the rules specified in **Part II** of these regulations. The Committee shall consist of persons possessing the essential expertise to carry on its functions.

§15. The Committee on Inspections shall consist of at least five members including a chairman who will be selected by the Board of Trustees, each of whom shall serve terms of three years, and from whose membership the Committee on Inspections shall select inspectors as may be required from time to time for the inspection of stallions and mares as provided for in **Part II** of these Corporate Regulations.

§16. The Committee on Inspection Committee Review shall consist of five members, including past presidents of the Association, the remainder members of the Board of Trustees, who shall be selected by the Board of Trustees. The Committee shall be responsible for the review of members of the Committee on Inspections at the expiration of their three-year terms.

§17. The standing committees of the Association shall report to the Board of Trustees and membership at the annual meeting of the Association. If the business of the committee requires, it may report to the Board of Trustees and membership more frequently at such times and in such form as the chairman of the committee deems necessary.

ARTICLE XII. ADMINISTRATIVE STAFF

§1. The administrative staff of the Association may consist of an administrative supervisor, and such other personnel as may be necessary.

§2. (a) The administrative operations of the Association may be performed by, or under the supervision of, an administrative supervisor, appointed by the Board of Trustees.

(b) The duties of the administrative supervisor shall include such duties as may be necessary to implement these Corporate Regulations and the policy decisions pursuant to these regulations made by the Board of Trustees, to perform and coordinate the administrative duties of the office of Secretary and Treasurer of the Association together with the respective officers thereof, and to assist the standing and special committees of the Association in the performance of their activities. The duties of the administrative supervisor shall be performed under the general supervision of the President and Chairman of the Board of Trustees and coordinated with such other officers of the Association as may be necessary from time to time.

(c) The specific responsibilities and duties assigned to the administrative supervisor in accordance with this **Article XII, §2**, together with any compensation to be paid to the administrative supervisor for the performance of these duties, shall be governed by contract, approved by the Board of Trustees and executed by the Association and the administrative supervisor.

ARTICLE XIII. INDEMNIFICATION

Every member of the Board of Trustees, officer, employee, or committee member of the Association shall be indemnified by the Association against all reasonable expenses and liabilities, including counsel fees, necessarily incurred by or imposed upon such trustee, officer, employee or committee member in connection with any threatened action, pending action or completed action, suit or proceeding, whether civil, criminal, administrative, or investigative (other than an action by, or in the right of, the American Trakehner Association, Inc.) to which he/she may be made a party or in which he/she may become involved by reason of his/her having been a trustee, officer, employee, or committee member, or any settlement thereof, whether or not he/she is a trustee, officer, employee, or committee member at the time such expenses are incurred, if he/she acted in good faith and in a manner he/she reasonably believed to be in, or not opposed to, the best interests of the Association. This indemnification shall not apply in such cases where the affected trustee, officer, employee, or committee member is found not to have acted in good faith or in a manner

reasonably believed to be in, or not opposed to, the best interests of the Association or where the employee is adjudged guilty of willful misfeasance or malfeasance in the performance of his/her duties. The provisions of this Article shall be applicable to actions, suits or proceedings pending at the adoption hereof, or commenced after the adoption hereof, whether arising from acts or omissions occurring before or after the adoption hereof, and to trustees, officers, employees and committee members and other persons who have ceased to render such service, and shall inure to the benefit of the heirs, executors and administrators of the trustees, officers, employees and committee members referred to in this Article. This indemnity agreement shall not inure to the benefit of any indemnitor, insurer, surety, or bonding company.

ARTICLE XIV. ELECTIONS

§1. Elections shall be held at each annual meeting of the Association at which time trustees and officers to succeed those whose terms then expire, or to fill any vacancy then existing, shall be chosen by the members present and qualified to vote.

§2. Nominations for trustees and officers shall be made by the Committee on Nominations, through its chairman, at the Annual Meeting of the Association in accordance with the procedures specified in **Article XI, §12**, prescribing the duties of the Nominating Committee. Any qualified voting member of the Association may also nominate other persons at such meeting to fill any vacancy which exists either by expiration of the term of office, resignation, or otherwise.

§3. Nominations for the three elected members of the Committee on Nominations shall be made by qualified voting members of the Association at the annual meeting.

§4. (a) The election of trustees, officers and the three members of the Committee on Nominations shall take place immediately upon the closing of nominations and a majority vote of the voting members present and voting shall constitute an election. In the event of a tie vote the vote shall be recast until a majority is established.

(b) Voting for trustees and officers shall be conducted, in the discretion of the presiding officer, by a voice vote, show of hands, roll call or ballot, of the members present and qualified to vote; provided, however, that voting must be conducted by ballot when more than one person has been nominated for an office.

ARTICLE XV. MEMBERSHIP MEETINGS

§ 1. The annual meeting of the membership shall be held during the second half of each calendar year. The exact time and place of annual meetings shall be designated by the Board of Trustees and announced by publication in appropriate written form.

§2. Special meetings of the membership may be called by (a) the President and Chairman of the Board, or the Vice President acting for the President and Chairman of the Board, (b) a majority of the trustees acting at an authorized meeting of the Board of Trustees, or (c) ten percent of the voting members. An official notice of such meeting shall be given by some appropriate means not less than ten nor more than sixty days before the date of the meeting to each voting member of the

Association. The notice shall be given by the President and Chairman of the Board, or the Vice President acting for the President and the Chairman of the Board.

§3. The order of business at each Annual Meeting of the membership shall be fixed by the Board of Trustees at its meeting immediately preceding the membership meeting and shall include, among other things:

- (a) report of the President and Chairman of the Board;
- (b) report of the Board of Trustees;
- (c) reports of the officers;
- (d) reports of the standing committees;
- (e) reports of any special committees;
- (f) election of trustees and officers; and
- (g) miscellaneous business.

§ 4. The order of business at any special meeting shall be determined by the purpose for which the meeting is called.

ARTICLE XVI. QUORUM

§1. A majority of the members of the Board of Trustees, including the President and Chairman of the Board, or Vice President, and at least eight other members of the Association shall constitute a quorum for the transaction of business at any meeting of the membership of the Association.

§2. A majority of the Board of Trustees, including the President and Chairman of the Board. or Vice President, shall constitute a quorum at any meeting of the Board of Trustees.

§3. A majority of the members of the executive committee, any standing committee, or any special committee shall constitute a quorum.

ARTICLE XVII. PUBLICATIONS

§1. The Association shall publish an official Stud Book listing the horses and their pedigrees which are registered according to **Articles VI and VII of Part II** of these Regulations.

§2. The Association shall publish an "Official Handbook of the American Trakehner Association, Inc. (A Unification of the NATA and the ATA)" which shall contain a brief history of the horse of Trakehner origin and these corporate regulations.

§3. The official news publication of the Association shall be named "The American Trakehner" with the sub-head: the "Official Publication of the American Trakehner Association, Inc. - A Unification of the NATA and the ATA" and shall be published at least semi-annually within each calendar year and shall contain articles, announcements, performance information, advertisements and such other information as may be deemed useful to the members of the Association.

ARTICLE XVIII. FISCAL YEAR

The fiscal year shall be from January 1 to December 31.

ARTICLE XIX. PARLIAMENTARY AUTHORITY

The rules contained in Robert's Rules of Order shall govern meetings of the Association in all cases to which they are applicable and in which they are not inconsistent with these regulations.

ARTICLE XX. AMENDMENTS

§ 1. These regulations may be amended at any Annual Meeting by a majority vote of the members present and voting; provided, however, that the rules and regulations governing the registration and branding of horses may be amended only by a two-thirds vote of such members present and voting. All proposed amendments shall be referred to the Committee on Corporate Regulations and Grievances for study and recommendation. The committee shall see that all proposed amendments with the committee's recommendations shall be in the possession of the Secretary at least two months before the date of the Annual Meeting and shall be appended to the call of the meeting.

§2. These regulations may be amended at any Annual Meeting by a two thirds vote of the members present and voting without previous notice.

PART II. REGISTRATION

ARTICLE I. BREEDING AND REGISTRATION GOAL

The Association is committed to adhere to the highly selective breeding standards practiced in Trakehnen, East Prussia, and continued in Germany by the Trakehner Verband in the breeding of the horse of Trakehner origin. By practicing these standards the Association desires to encourage the development of a performing horse of beauty and harmony, great endurance, mental and physical balance, and possessing an excellent character.

ARTICLE II. GENERAL REGISTRATION STANDARDS

§1. Obedience, willingness to work and elegant, flowing and elastic movements are the dominant characteristics of the Trakehner breed.

§2. Small noble heads are desirable as they show nerve and energy. The neck should be set properly and of significant length. Withers should be pronounced clearly and visible, the shoulder should be long and sloping and the back should be of medium length. The croup should be long, muscular and of oval shape. A deep and wide rib cage should be connected to a substantial frame, representing a horizontal rectangle (in contrast to the square frame of the Thoroughbred) with large joints.

§3. The recommended minimum size requirements for stallions as two and one-half-year olds are 15.3 hands in height with a heart girth of 72 inches and a cannon bone of 7.5 inches.

§4. The recommended minimum size requirements for mares are 15.1 hands in height with a heart girth of 70 inches and a cannon bone which approaches 7.5 inches.

ARTICLE III. OFFICIAL REGISTRY BOOK

§1. The Official Registry Book of the Association shall consist of five divisions:

(A) **Purebred Trakehner**, composed of: Trakehner horses by an approved Trakehner stallion out of an approved, ATA or NATA registered Trakehner mare with a documented pedigree showing at least five generations of approved Trakehner horses and no more than one horse other than a Trakehner, Thoroughbred, or Arabian, or crosses thereof, in the sixth generation and issued numbered certificates by the Association. For purposes of definition of a purebred, a horse's parents are considered the first generation, its grandparents the second generation, etc.

(B) **Anglo-Trakehner**, composed of: Anglo-Trakehner horses by an approved stallion of Trakehner origin and out of a Thoroughbred mare registered with the Jockey Club, or an ATA or NATA (Division 5) registered Anglo-Trakehner mare, and issued numbered certificates by the Association.

(C) **Arab-Trakehner**, composed of: Arab-Trakehner horses by an approved Trakehner stallion and out of an Arabian mare registered with the Arabian Horse Registry of America, Inc., or the Canadian Arabian Horse Registry, or an Anglo-Arab mare registered as such with the International Arabian Horse Association or the Canadian Partbred Arabian Registry, or a Shagya-Arabian mare or an Anglo-Shagya mare registered in the stud book of an association that is a member of the Internationale Shagya-Araber Gesellschaft e.V. (ISG = International Purebred Shagya-Arab Society) or an ATA or NATA (Division 6) registered Arab-Trakehner mare and issued numbered certificates by the Association.

(D) **Part-Trakehner**, composed of:

Part-Trakehner horses by an approved Trakehner stallion and out of a mare other than a Trakehner, Thoroughbred or Arabian mare or an ATA or NATA (Division 4 or 7) registered Part-Trakehner mare and issued numbered certificates by the Association.

(E) **Imported Trakehner**, consisting of:

Trakehner horses by an approved Trakehner stallion, out of an approved Trakehner mare,

- (1) imported into the Western Hemisphere; and
- (2) with papers establishing purity of breeding and an unbroken, properly authenticated pedigree of five generations of approved or accepted Trakehner horses

ARTICLE IV. HORSES ELIGIBLE FOR INCLUSION IN THE OFFICIAL REGISTRY BOOK

§1. Notwithstanding any of the provisions of **Article III** of these regulations, upon proper compliance with these regulations, any person may register in the numbered section of the Official Registry Book a stallion, mare or gelding that has an approved numbered Trakehner sire and a dam recognized in one of the five divisions of the Official Registry Book set forth in **Article III**.

§2. Any horse registered pursuant to this Article shall receive a registration number without any inspection for conformation or performance.

§3. Registration numbers shall be consecutive and assigned chronologically according to the earliest completed application for registration.

ARTICLE V. PRELIMINARY STUD BOOK

§1. The Preliminary Stud Book of the American Trakehner Association, Inc., shall consist of two divisions:

- (a) Anglo-Trakehner and Thoroughbred mares;
- (b) Arab-Trakehner, purebred Arabian, Anglo-Arab, and Shagya-Arabian mares.

§2. Mares registered in Division B or C of the Official Registry Book or Division B or C of the Official Appendix Book of the ATA, or Division 5 or 6 of the General Registry Book of the NATA, Thoroughbred mares registered by the Jockey Club, purebred Arabian mares registered by the Arabian Horse Registry of America, Inc., the Canadian Arabian Horse Registry or the World Arabian Organization, Anglo-Arab mares registered as such by the International Arabian Horse Association or the Canadian Partbred-Arabian Registry, and Shagya-Arabian or Anglo-Shagya mares registered in the stud book of an association that is a member of the Internationale Shagya-Araber Gesellschaft e.V. (ISG = International Purebred Shagya-Arab Society, in the year in which they turn three, or later, may be eligible for registration in the Preliminary Stud Book upon application to the Registration Committee and personal inspection by the Inspection Committee.

§3. First generation offspring of mares registered in the Preliminary Stud Book pursuant to §2 of this Article by unrestricted stallions approved by the American Trakehner Association are eligible for the purebred Trakehner brand defined in **Part III, Article I, §1**, of these regulations and may be eligible for transfer to the Official Stud Book upon application to the Registration Committee and personal inspection by the Inspection Committee.

§4. All horses to be registered in the Preliminary Stud Book must be DNA-typed and their parentage verified at the owner's expense. All full or part-Arabian horses must present a certificate indicating that they are "clear" of SCID.

§5. Registration numbers shall be consecutive and assigned chronologically according to the earliest completed application for registration.

ARTICLE VI. OFFICIAL STUD BOOK

The Official Stud Book of the Association shall consist of two divisions:

- (1) Trakehners foaled in the Western Hemisphere;
- (2) imported Trakehners.

ARTICLE VII. HORSES ELIGIBLE FOR INCLUSION IN THE OFFICIAL STUD BOOK

§1. (a) In the year in which they turn three, or later, all purebred Trakehner fillies registered with the Association in accordance with **Articles III and IV of Part II** of these regulations or in Division 1 of the General Registry Book of the NATA shall be granted approval as broodmares and included in the Official Stud Book of the Association upon application to and the recommendation of the Registration Committee and upon personal inspection by a member or members of the Inspection Committee.

(b) If a purebred Trakehner mare dies before the mare is inspected for the Official Stud Book, the mare may be entered posthumously if the mare's identity has been confirmed by genetic typing. However, this breeding approval is applicable only for the mare's last-born foal.

§2. (a) Two-year-old and older third generation Anglo-Trakehner and Arab-Trakehner colts (7/8 Trakehner) may apply to the Registration Committee for transfer to the Official Stud Book under the same conditions as stated in §3 of this Article.

(b) In the year in which they turn three, or later, third generation Anglo-Trakehner fillies (7/8 Trakehner) registered in Division B of the Official Registry Book or the Official Appendix Book or in Division 5 of the General Registry Book of the NATA, third generation Arab-Trakehner fillies (including Anglo-Arab and Trakehner crosses) registered in Division C of the Official Registry Book or the Official Appendix Book or in Division 6 of the General Registry Book of the NATA may apply to the Registration Committee for transfer to the Official Stud Book. Eligibility for such transfer will be determined upon personal inspection by a member or members of the Inspection Committee.

(c) In the year in which they turn three, or later, fourth generation (15/16 Trakehner) part-Trakehner fillies registered in Division D of the Official Registry Book and tracing back without a break to a mare registered with the ATA in 1983 or earlier are eligible for transfer to the Official Stud Book if deemed acceptable after personal inspection by a member or members of the Inspection Committee.

(d) (1) First generation Anglo-Trakehner or Arab-Trakehner colts and fillies, registered in Division B or C of the Official Registry Book or in Division 5 or 6 of the General Registry Book of the NATA, whose dam has demonstrated success as a performance horse in North America or is a proven performance horse producer under the guidelines specified herein, may be eligible for inclusion in the Official Stud Book if presented to the Inspection Committee for personal inspection as specified in §3 of this Article. Fillies are exempt from the provisions of §3 (c) requiring the completion of a performance test for colts.

(2) The minimum performance standards to be met by the dams of the first generation Anglo-Trakehner or Arab-Trakehner foals of (1) above are the following:

(i) Combined Training

— completed two full USEA or Equine Canada recognized 3-day events at the Preliminary level in which there were at least 10 entries and received a final score no higher than the median dressage score of the division, in penalties, plus 20; or

— completed at least one full USEA or Equine Canada recognized 3-day event at the Intermediate

level with a final score no higher than the median dressage score of the division, in penalties, plus 20.

(ii) Dressage

— with at least one FEI Judge judging the class, received, at five different USEF or Equine Canada recognized shows, an average of 65% or higher at Fourth Level or an average of 60% or higher at Prix St. Georges.

(iii) Show Hunter

— won five championships or reserves in USEF or Equine Canada A-rated Divisions where the fences are at least 3'6" in height.

(iv) Jumper

— placed third or higher in three Intermediate Jumper classes at A-rated USEF or Equine Canada recognized shows having at least 10 entries; or

— incurred no more than an average of 8 penalties in a minimum of three Intermediate Jumper Classes at A rated USEF or Equine Canada recognized shows having at least 10 entries.

(3) The guidelines specified in (2) above shall be reviewed from time to time by the Board of Trustees and amendments proposed to the membership if warranted in the interest of the breed.

(4) Thoroughbred, Arabian, Anglo-Arab, Anglo-Trakehner or Arab-Trakehner mares who have produced two horses of either sex that have met any of the performance standards specified in (2) above are themselves considered to have met the above requirements.

(5) Other disciplines and special cases, for example, mares that have performed overseas, are to be considered by the Board of Trustees on an individual basis in conformity with the provisions of §6 of this Article

(e) (1) Thoroughbred stallions registered with the Jockey Club or an equivalent organization, Arabian stallions registered with the Arabian Horse Registry of America, Inc., the Canadian Arabian horse Registry or the World Arabian Organization (WAO), Anglo-Arab stallions registered as such with the International Arabian Horse Association or the Canadian Partbred Arabian Registry, or Shagya-Arabian and Anglo-Shagya stallions registered in the stud book of an association that is a member of the Internationale Shagya-Araber Gesellschaft e.V. (ISG = Internationale Purebred Shagya-Arab Society, if the ATA inspection committee considers them to have an outstanding performance record as sport horses, may also be presented for breeding approval as outlined in §3(a) and (b) of this Article. The required performance record may be from racing, on the flat or over obstacles, from endurance competitions, or any of the Olympic disciplines.

(2) Foals by approved stallions as defined in §2 (e)(1), above, must have at least 50 percent Trakehner blood to be eligible for registration in any book of the Association. For registration in Division A of the Official Registry Book, the dams of the foals must not have a Thoroughbred or Arabian parent.

§3. (a) All Trakehner colts registered with the Association in accordance with **Articles III and IV** of **Part II** of these regulations by an approved Trakehner stallion out of an approved Trakehner mare, if intended as a breeding stallion, must be presented at the minimum age of two years, to an inspection team selected by the Board of Trustees for the inspection and approval of the colt as a breeding stallion. Upon approval thereof, the colt, if owned by an active member of the Association, shall be eligible for transfer to the Official Stud Book of the Association and an appropriate

certificate of registration will be issued certifying the stallion as an approved breeding stallion of the Association.

(b) Stallions to be presented for approval as breeding stallions under this section shall be presented to the inspection team at a central location to be determined at the discretion of the Board of Trustees. However, in the case of obvious hardship the inspection may, upon approval by a majority of the Board of Trustees, be conducted at a location convenient to the stallion owner and at the stallion owner's expense.

(c) (1) Within two years from the approval, or by the end of the competition year in which he reaches his sixth birthday, whichever is later, the stallion must complete a USEA or Equine Canada sanctioned three-phase combined training event. The minimum required level of competition is determined by the age of the stallion at the time of the qualifying event. The age of the stallion is determined by his foaling date. Prior to the date of the stallion's sixth birthday, the stallion must compete at the Novice (USEA) or Pre-Training (Equine Canada) level. After the stallion's sixth birthday, the stallion must compete at a USEA or Equine Canada sanctioned three-phase combined training event at the Training level or above. If the competition includes the date of the stallion's sixth birthday, the stallion must compete at the Training level. A statement of completion must be signed by the event secretary or chief judge and be furnished to the Association on a form provided for that purpose by the Association. Upon completion of this requirement, the approval is final.

(2) If a stallion fails to complete the performance requirement specified in subparagraph (1), above, his approval will be withdrawn. Foals conceived after withdrawal of the the approval are not eligible for registration in the Official Registry Book. As soon as documentation is provided that the stallion has completed the performance requirement at the level specified in subparagraph (1), above, for his age, his approved status may be reinstated.

(3) A stallion who has failed to complete the performance requirement specified in subparagraph (1), above, and has lost his approval may have his approval re-instated at a later date if documentary evidence is provided that he has fulfilled the requirements of a *Ps* award as specified in the ATA Performance Award Program in effect at that time.

(4) However, if the foals of the stallion indicate that the stallion is passing serious genetic defects, the Registration Committee may recommend to the Board of Trustees that the stallion be disapproved as a breeding stallion at which time the Board of Trustees may then withdraw the previously granted approved breeding status upon a two-thirds vote. Foals from stallions not meeting final approval conceived before approval is withdrawn shall remain, or be, eligible under these regulations for registration in the Official Registry Book.

§4. Qualification for entry into the Official Stud Book under §§1, 2 and 3 of this Article must be verified based upon the horse's original registration certificate, veterinarian certificates and radiographs in the case of colts, and personal inspection by a member or members of the Inspection Committee.

§5. Stallions or mares presented to the Inspection Committee for transfer to the Official Stud Book or the Preliminary Stud Book and not approved may be re-inspected at a future inspection upon application and payment of the required fee by the then owner of the stallion or mare.

§6. Any exceptions to the foregoing rules governing registration in the Official Stud and Registry Books may be made only if it is in the interest of the Trakehner breed and then only upon a two-thirds vote of the Trustees of the Association.

§7. All horses to be registered in the Official Stud Book must be DNA-typed and their parentage verified at the owner's expense. All full or part-Arabian horses must present a certificate indicating that they are "clear" of SCID.

§8. Registration numbers shall be consecutive and assigned to approved breeding horses chronologically according to the earliest completed application for registration.

ARTICLE VIII. OFFICIAL APPENDIX BOOK

§1. The Official Appendix Book of the Association shall consist of five divisions:

(A) Purebred Trakehner Horses composed of:

(1) Horses by an approved Trakehner stallion out of a purebred Trakehner mare registered in Division A or E of the Official Registry Book of the ATA or in Division 1 of the General Registry Book of the NATA as well as their offspring by approved Trakehner stallions.

(2) Fillies and mares registered in Division A of the Official Appendix Book, if they meet the general standards of the breed as defined in **Part II, Article II**, of these regulations, and have at least five generations of Trakehners on the dam's side, may, in the year in which they turn three, or later, apply to the Registration Committee for transfer to the Official Stud Book of the Association.

(3) Colts registered in Division A of the Official Appendix Book are ineligible for transfer to the Official Stud Book of the Association.

(B) Anglo-Trakehner Horses composed of:

(1) Horses by a Jockey Club registered stallion out of a Trakehner mare registered in the Official Stud Book of the ATA or the NATA and their first, second and third generation offspring by approved Trakehner stallions.

(a) Third generation, or later, fillies and mares registered in Division B of the Official Appendix Book, if they meet the general standards of the breed as defined in **Part II, Article II**, of these regulations, may, in the year in which they turn three, or later, apply to the Registration Committee for transfer to the Official Stud Book of the Association under the same conditions as fillies registered in Division B of the Official Registry Book.

(b) Colts registered in Division B of the Official Appendix Book are ineligible for transfer to the Official Stud Book of the Association.

(C) Arab-Trakehner Horses composed of:

(1) Arab-Trakehner horses by an Arabian stallion registered with the Arabian Horse Registry of America, Inc., or the Canadian Arabian Horse Registry, or by an Anglo-Arabian stallion registered as such with the International Arabian Horse Association or the Canadian Partbred Arabian Registry, or by a Shagya-Arabian stallion or an approved Anglo-Shagya stallion registered with an association that is a member of the Internationale Shagya-~~arber~~Araber Gesellschaft e.V. (ISG = International Purebred Shagya-Arab Society) and out of a Trakehner mare registered in the Official Stud Book of the ATA or the NATA and their first, second and third generation offspring by approved Trakehner stallions.

(a) Third generation, or later, fillies and mares registered in Division C of the Official Appendix Book, if they meet the general standards of the breed as defined in **Part II, Article II**, of

these regulations, may, in the year in which they turn three, or later, apply to the Registration Committee for transfer to the Official Stud Book of the Association under the same conditions as fillies registered in Division C of the Official Registry Book.

(b) Colts registered in Division C of the Official Appendix Book are ineligible for transfer to the Official Stud Book of the Association.

(D) (1) Horses ineligible for registration in any other division of any of the Official Stud Book, Registry Book or Appendix Book of the Association which possess a five-generation Trakehner pedigree on either the sire's or the dam's side.

(a) No horse registered in Division D of the Official Appendix Book is eligible for transfer to the Official Stud Book of the Association.

(E) Imported Trakehners composed of:

(1) Horses registered in the Stutbuch (Stammbuch) of the Trakehner Verband or in a corresponding book of an association affiliated with the Trakehner Verband and branded with the single moose antler brand with loop or its equivalent from the affiliated association.

(a) Fillies and mares registered in Division E of the Official Appendix Book, if they meet the general standards of the breed as defined in **Part II, Article II**, of these regulations, and have at least five generations of Trakehners on the dam's side, may, in the year in which they turn three, or later, apply to the Registration Committee for transfer to the Official Stud Book of the Association.

(b) Colts registered in Division E of the Official Appendix Book are ineligible for transfer to the Official Stud Book of the Association.

ARTICLE IX. REGISTRATION OF IMPORTED HORSES

§ 1. Before any imported horse eligible for registration under the provisions of **Articles III to VIII** shall be registered with the Association, the owner of such horse at the time of importation shall make application therefore on a form supplied by the Association which application shall include the name, sex, color and markings of the horse to be registered. Said application shall be accompanied by the necessary fee, the import form showing the date of importation, the registration certificate and/or a certified pedigree as required in **Article III**. The existing certificate of registration or certificate of pedigree must bear the markings of said horse.

§2. Before any foal imported *in utero* and born in the Western Hemisphere shall be registered in the records of the Association, the owner of the mare at the time of foaling must first have obtained registration of the mare in his/her name, and thereafter shall make application for registration of the foal upon the form supplied by the Association. Said application shall include the name, sex, color, markings, foaling date and name, color and registration number of the dam. There shall also be filed with the said application the required fee and satisfactory evidence, either by affidavit of the owner of the sire at the date of service, or otherwise as the Registration Committee shall determine, to establish the date of breeding, and that the sire was of pure Trakehner origin.

§3. Any imported Trakehner mare or stallion previously approved for breeding by the Trakehner Verband or an association affiliated with the Trakehner Verband shall be approved for inclusion in the Official Stud Book providing the regulations governing registration in this Article are observed.

ARTICLE X. PERSONAL INSPECTION

§1. A personal inspection of any horse may be made by the Inspection Committee as a whole, by a member of the committee selected by the committee or some other person recommended by the committee, and approved by the Board of Trustees.

ARTICLE XI. BREEDING REQUIREMENTS

§1. To make a foal eligible for registration:

(a) the sire, if standing on the North American continent, must be registered in the Official Stud Book of the ATA or the NATA and the owner, or lessee, if applicable, of the stallion must be an active member in good standing, of the Association;

(b) the sire, if he is deceased at the time his frozen semen is used, must have been duly registered and approved or accepted by the ATA or the NATA, the Trakehner Verband, or one of its affiliated organizations at the time the semen was collected and frozen. The use of frozen semen of an approved stallion that has been gelded requires the approval of two-thirds of the Board of Trustees.

(c) the foal must be begotten and gestated under one of the conditions outlined in §§ 2 to 6 of this Article.

§2. **Natural Cover.** If a mare is pasture bred, it must be ensured that only one stallion has access to her during any one heat period.

§3. On-Premise Artificial Insemination With Fresh Semen.

(a) If two or more stallions of breeding age are on the premises, all semen shall be properly identified between collection and insemination.

§4. Transported Fresh Semen.

(a) Prior to foaling, any mare covered by transported semen must be genetically typed, at the mare owner's expense, by an approved laboratory under contract to the Association. The resulting foal must also be genetically typed at the owner's expense and is eligible for registration only if it unquestionably qualifies as the offspring of its alleged sire and dam.

(b) All semen shipments must be accompanied by a certificate from the stallion owner/agent as specified in **Part II, Article XIII**, of these Corporate Regulations.

§5. Frozen Semen.

(a) Prior to foaling, any mare covered by frozen semen must be genetically typed, at the mare owner's expense, by an approved laboratory under contract to the Association. The resulting foal must also be genetically typed at the owner's expense and is eligible for registration only if it unquestionably qualifies as the offspring of its alleged sire and dam.

(b) All shipments of frozen semen must be accompanied by a certificate from the stallion owner/agent or the owner of the semen, as specified in **Part II, Article XIII**, of these Corporate Regulations.

(c) Frozen semen breedings are subject to all of the requirements made for transported fresh semen in §4 (a), (b), and (c) of this **Article XI** regardless of where the frozen semen is dispensed.

(d) If the owner of an approved or accepted stallion not standing, or never having stood, in North America desires, he may register his stallion in the Official Stud Book of the Association. In that case, the owner, or his North American agent, must be a member in good standing of the Association. The owner or agent must pay the same fees for the stallion and issue the same reports and certificates as the owners of stallions standing in North America.

(e) Foals produced by frozen semen from approved or accepted stallions not standing in North America, or never having stood in North America during their lifetime, and not registered in the Official Stud Book of the Association are assessed the registration fees normally levied for imported horses.

(f) Owners of foals produced by imported frozen semen should contact the Association to determine whether the foal's sire is an ATA registered stallion, in which case their registration fee will be the same as for any other domestic horse, or whether he is not an ATA registered stallion, in which case their registration fee will be the same as that for an imported horse.

§6. Embryo Transfer

(a) Foals produced by transfer of the embryo to a recipient mare, otherwise meeting registration requirements, will be registered if the following conditions are met:

(1) the donor mare's genetic type must be on file with the Association before the mare is bred.

(2) the resulting foal must be genetically typed and, if there is a question about its parentage, the genetic type of the recipient mare shall also be considered:

(3) all breeding and embryo transfer dates must be properly documented:

§7. Regardless of the method employed, a mare may not be covered by more than one stallion during any one heat period. If a mare is covered by more than one stallion within 45 days, genetic typing of the resulting foal and its dam shall be mandatory to determine the correct parentage. The genetic typing costs shall be borne by the owner of the foal applying for registration.

§8. Separation of fillies and colts at the age of eleven months is mandatory.

§9. All stallions must be genetically typed by an approved laboratory under contract to the Association prior to being used for breeding purposes and an official copy of the official genetic type report shall be filed with the Association.

§10. If, in the opinion of the Registration Committee, there is justifiable cause to question the parentage of a foal, the Committee may require the foal, sire and dam to be genetically typed. The results of this test, together with such other information as may be available, may be taken into consideration by the Committee in its determination of the foal's parentage and registration.

ARTICLE XII. STALLION BREEDING REPORT

§1. On or before January 31 of each year, the owner or lessee of each approved stallion registered with the Association, or the owner of frozen semen from an approved stallion registered with the Association, as the case might be, must submit a stallion breeding report on a form provided by the Association, together with the required fee, listing all mares bred to the stallion or with the stallion's semen, during the preceding calendar year, whether such mares were determined in foal or not.

§2. The stallion breeding report shall include the mare's registration number, the mare's registered name, the name and address of the owner, or lessee if applicable, at the time of service, and all breeding or shipment dates or dates of exposure as well as the method of breeding employed as specified in **Article XI, §2 to 6** of this **Part II**. A separate report must be submitted for mares bred by transported fresh or frozen semen.

§3. Each stallion breeding report shall be signed by the record owner, or the lessee if applicable, of each stallion at the time of service, or by the owner of the dispensed frozen semen, as the case might be. Failure to file a complete stallion breeding report as specified in §§1 and 2 of this Article shall subject the owner to a late fee.

§4. No foal application will be considered unless the stallion report covering that foal has been received by the Association.

§5. The stallion owner shall advise the Association of any leasing arrangements made for the stallion and of any change in the person(s) responsible for the stallion.

§6. If a stallion has not covered any mares during a particular year, the owner shall so advise the Association.

§7. Stallion owners shall keep accurate and complete records of all mares bred and shall give the Registration Committee access to such records when requested.

ARTICLE XIII. BREEDING CERTIFICATE

§1. (a) In addition to the preparation and filing of an annual stallion breeding report as provided in **Article XII**, a breeding certificate shall be executed by the record owner, or the lessee, if applicable, of the stallion and countersigned by the owner of the dam on a form provided without cost by the Association.

(b) In the case of mares bred by transported fresh or frozen semen, an Insemination and Semen Shipment Certificate furnished by the Association shall accompany each semen shipment. In addition to the information specified in §2 of this Article, the certificate shall give the date of collection of the stallion and bear the collector's signature. This certificate will serve as the breeding certificate under this Article. No further breeding certificates for such transported semen breedings shall be issued at the end of the breeding season.

(c) In the case of mares bred by transported fresh or frozen semen, the person performing the insemination shall verify the information regarding the mare as given on the certificate and on any accompanying documents required by the Association or the stallion owner, enter the date of the insemination and sign the certificate, where indicated, verifying that that person has inseminated only the mare specified on the certificate and has destroyed all unused or excess semen.

§2. The breeding certificate shall contain the name and description of the mare, her registration number, if any, the name of the stallion, his registration number and the date or dates of service.

§3. (a) The breeding certificate shall be delivered only to the owner/agent of the mare. The owner/agent of the mare shall enter the foaling date, color, sex and markings of the resulting foal

and then forward the original of the certificate to the Registration Committee within four weeks after foaling.

(b) In the case of a mare bred by transported fresh or frozen semen, the mare owner shall enter the information regarding the resulting foal on the certificate that accompanied the shipment resulting in the foal being registered.

(c) No foal registration will be issued unless a signed breeding certificate is on file.

ARTICLE XIV. AGE OF HORSE

The age of a horse shall be on the basis of the month, day and year of foaling.

ARTICLE XV. NAMING OF HORSE

§1 No horse will be registered in the records of the Association by any name which is a duplicate of the name of a horse, living or dead, already registered with the Association.

§2. The name of the horse shall not exceed twenty-five (25) characters including blank spaces. In the case of horses eligible for future transfer to the Official Stud Book, the first letter of the name shall be the same as the first letter of the dam line. Otherwise, if the dam line is not a Trakehner, the name may start with the first letter of the sire's name.

§3. Names ending in numbers, such as "One Six", or the words "filly" or "colt" as part of a name are not permitted.

§4. Punctuation marks are not permitted.

§5. The horse must be named prior to being used for breeding purposes. Thereafter, the name of the horse cannot be changed.

§6. Horses registered in the Stud Book of the Trakehner Verband or an association affiliated with the Trakehner Verband will be registered in the ATA Stud Books with the same name. If such name is already in use in the ATA registry, the appropriate Roman numeral will be added to the horse's name.

§7. The Association may refuse to register a horse by any name that appears inappropriate, misleading or obscene.

ARTICLE XVI. REGISTRATION PROCEDURE

§1. Before any horse eligible for registration shall be registered all transfers of the sire and dam must be completed.

§2. The owner of the horse to be registered shall execute an application for registration of the horse on a form provided without cost by the Association which shall be identified as "Application for

Registration.” The said application shall be accompanied by the required fee, and shall include the name, sex, color, markings, foaling date of the horse to be registered and the name, registration number and color of the sire and dam. Upon approval of the application by the Registration Committee an original certificate of registration shall be issued to the owner in either the Official Appendix Book, the Official Registry Book, the Preliminary Stud Book or the Official Stud Book. The certificate shall contain the same description of the animal as contained in the application form, appropriately identify the horse as registered in the Official Appendix Book, the Official Registry Book, the Preliminary Stud Book, or the Official Stud Book of the Association, and specify the registration number with the prefix "OAB," "ORB," "PSB," or "OSB" as the case may be.

§3. The owner of a horse which qualifies by breeding for registration in the Official Stud Book of the Association may make preliminary application for approval of the horse as a broodmare or breeding stallion on a form provided without cost by the Association which shall be identified as “Application for Breeding Approval” or “Nomination for Inspection.” The application shall contain the same minimal information as required for the application for registration and be accompanied by the required fee and the original certificate of registration. Upon completion of processing of the application by the Registration Committee and approval after personal inspection by a member or members of the Inspection Committee, the Association shall issue to the owner of the horse a certificate of registration in the Official Stud Book. If transfer to the Official Stud Book is disapproved, the original certificate of registration in the Official Registry Book will be returned to the horse's owner with the appropriate endorsement.

§4. When the horse which is the subject of the application has been accepted for registration in either the Official Appendix Book, Official Registry Book, Preliminary Stud Book, or Official Stud Book and the completed certificate issued therefor, such registration shall be binding upon the Association and the person to whom issued may be deemed the owner unless and until clear and convincing evidence is adduced showing fraud or mistake in the application or issuance of the certificate of registration, or the ownership is properly transferred.

§5. The Association and its officers shall use diligence in securing true information in connection with the registration of any and all animals registered, but neither the Association nor its officers shall be answerable in damages for the issuance of any certificate of registration made in conformity with information supplied by the application for registration.

§6. A duplicate certificate of registration will be issued to the owner of record upon an application therefor accompanied by the required fee and affidavit explaining the loss of the original.

ARTICLE XVII. TRANSFERS

§1. When an animal is sold, the seller shall endorse in full and deliver to the buyer its certificate of registration and the buyer shall promptly forward said certificate and required fee to the Association. Transfer of registration must be made to each subsequent owner upon receipt by the Association of the registration certificate fully endorsed on the back thereof by the person(s) to whom issued.

§2. In order that the progeny of any animal may be registered, all transfers of ownership of such animal and dates of sales must be duly recorded.

§3. Whenever title to a registered animal passes to another by reason of incapacity of the owner, the Association may transfer the registration of such animal to the new owner upon order of a court of competent jurisdiction or other satisfactory proof of transfer of title.

ARTICLE XVIII. GELDINGS

When a previously registered stallion is castrated, the certificate of registration, with the date of castration noted thereon, must be surrendered to the Association. An endorsement that the change has been noted in the records of the Association shall be placed upon the certificate of registration and the certificate, so endorsed, shall be returned to the owner of the horse.

ARTICLE XIX. DEATH OF A REGISTERED ANIMAL

Upon the death of an animal its certificate of registration with the date of death noted thereon, must be surrendered for recording and cancellation. Canceled certificates will be returned to the owner, if requested.

PART III. BRANDING

ARTICLE I. ELECTIVE BRANDING OF HORSES WITH DOUBLE MOOSE ANTLER BRAND

§1. Any eligible fullblood horse registered with the Association, or its fullblood offspring, may be branded on the left hindquarter with the modified double moose antler brand as the recognized sign of the horse of fullblood Trakehner origin, registered with the Association in North America as follows:



§2. Horses of fullblood Trakehner origin which are branded on the left hindquarter with the Trakehner Verband double moose antler brand will be ineligible for branding as provided in §1.

ARTICLE II. BRANDING PROCEDURE

§1. All horses registered in the Association's official Stud Book, or their fullblood offspring registered in the Official Registry Book may be branded as provided In **Part III, Article 1**, of these regulations upon application to the Registration Committee, payment of the required fees and verification by that Committee of the registry status of the horse to be branded.

ARTICLE III. BRANDING OF FOALS

§1. If branding is desired, all eligible fullblood foals by an approved stallion out of an approved mare must be branded prior to weaning as provided in **Part III, Article I**, of these regulations, upon the furnishing of proof satisfactory to the Registration Committee of the fullblood status of the foal to be branded. Any foal not branded prior to weaning shall be ineligible to be branded except as provided in §2 of this Article.

§2. A fullblood foal may be branded after it has been weaned upon the application to the Registration Committee under the following circumstances:

- (a) upon the application of a new owner following a change in ownership;
- (b) the death, illness, or injury of the dam which requires premature weaning of the foal;
- (c) the inability of the branding representative to brand the foal prior to weaning; and
- (d) fullblood foals exported in utero, outside of North America.

§3. Foals by approved Trakehner stallions, out of mares registered in the Preliminary Stud Book, are also eligible for the full Trakehner brand.

§4. If a foal is not branded prior to weaning, it must first be duly registered with the Association to be eligible to be branded.

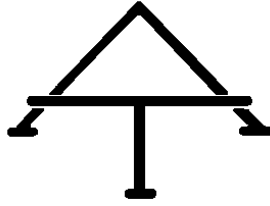
§5. The Registration Committee shall issue a branding authorization to the Chairman of the Committee on Branding for the application of the brand upon the receipt of the application for branding, together with the foal's breeding certificate and after the Committee is satisfied of compliance with all Association regulations governing the branding of Trakehner foals pursuant to this **Part III**.

ARTICLE IV. BRANDING OF STALLIONS

If desired by, and at the expense and peril of, their owners, stallions approved for breeding by the Association may be branded on the left side of the neck with the brand specified in **Part III, Article I, §1**, of these regulations in a size smaller than used on the hindquarter. Application for such branding must be made together with the application for inspection.

ARTICLE V. ELECTIVE BRANDING OF PART-TRAKEHNER HORSES

§1. Upon application by a member of the Association and the payment of the appropriate fees, any horse owned by such member, registered in the Official Registry Book or the Official Appendix Book of the Association and not eligible for the full Trakehner brand may be branded on the left hindquarter with the Association's Part-Trakehner brand as the recognized sign of a horse registered with the Association, as follows:



ARTICLE VI. APPEALS OF BRANDING DECISIONS

§1. A decision of the Registration committee with respect to transitional branding and to the branding of foals may be appealed to the Board of Trustees of the Association whose decision shall be final.

PART IV. DISCIPLINARY PROCEDURE

ARTICLE I. PROHIBITED CONDUCT

If the Association finds that any person has failed to comply with any of these regulations, or has been guilty of any misconduct or misrepresentation which in any manner involves the purpose or good name of the Association, such person may be expelled or suspended from membership, and may be denied the privileges of the Association and subjected to such other penalties as may be within its power to impose.

ARTICLE II. PROCEDURE

§1. Any party in interest may file with the Secretary of the Association, or upon a majority vote of the membership, the Association may, upon information and belief, file with the Secretary, a plain and concise statement specifying the alleged acts of misconduct or misrepresentation of another. The Secretary shall refer copies of said statement, together with any other pertinent information to the Board of Trustees. If a majority of the Board concludes that the charges, if true, involve the purpose and integrity of the Association, the Board shall refer the complaint to the Corporate Regulations and Grievances Committee to investigate the charges.

§2. Before the Corporate Regulations and Grievances Committee takes any action against the party accused, he/she shall be furnished a concise statement of the charges together with a notice that he/she file a written answer thereto within 15 days, or in default thereof, said charges may be taken as true. The Committee, in its sound discretion, may decide the issues upon the writings filed, or upon the request of the accused, shall grant a hearing to the accused. The decision of the Committee, if adverse to the accused, may be appealed to the Board of Trustees. The decision of the Board of Trustees on appeal shall be final.

§3. Any member of this Association, by joining the Association, thereby waives any cause of action he might have against the Association, for any disciplinary action taken against him pursuant to this Article.

ARTICLE III. RESTORATION OF ASSOCIATION PRIVILEGES

The Board of Trustees may restore to good standing as a member any person previously deprived the privileges of the Association by reason of expulsion, suspension, or other disciplinary action, upon compliance by that person with any reasonable requirements imposed by the Board of Trustees assuring it that the conduct giving rise to the expulsion, suspension or other disciplinary action will not occur again.
